

SMIFS CAPITAL MARKETS LIMITED

October 17, 2022

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements)
Regulations, 2015

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on September 30, 2022 for your kind reference and record.

Kindly acknowledge.

Ayanti Sen

Yours faithfully, For SMIFS Capital Markets Limited

(Ayanti Sen)

Company Secretary-cum-Compliance Officer

Encl: a/a



| General Information about company | |
|---|--|
| Scrip code NSE Symbol | 508905 |
| MSEI Symbol ISIN | |
| Name of the company Whether company is SME | INE641A01013 SMIFS CAPITAL MARKETS LIMITED |
| Class of Security | No Equity Shares |
| Type of report Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | Quarterly |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | 30-09-2022 |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No No |

For SMIFS CAPITAL MARKETS LIMITED

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| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|------------|--|--------|--------------------------------|--------------------|-----------------------------|
| * | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| - | Whether the Listed Entity has issued any Warrants? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | | | 140 |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | | No | No | No |
| 7 | Whether company has equity shares with differential voting rights? | No | No | | |
| 8 | Whether the listed easily shares with differential voting rights? | No | No | No | No |
| | Whether the listed entity has any significant beneficial owner? | No | E COMPANIANT SECTION | all the second | 1.00000 |

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For SMIFS CAPITAL MARKETS LIMITED A yout Sen.

(AYANTI SEN) COMPANY SECRETARY
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Table I - Summary Statement holding of specified securities

Note: Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

| ategor | 22000 | 700 000 | | | | Shan | Shareholding as a % | Number of Voting Rightsheld in each class ofsecurities(IX) | | | |
|----------|----------------------------------|----------------------|--|--|-----------------------------|--------------------------------|--|--|--|------------|----------------------------|
| Y (1) | Category of shareholder (II) | Nos. Of shareholders | No. of fully paid up equity shares held | No. Of Partly paid- up equity shares | No. Of shares underlying | Total nos. shares | of total no. of | | No of Voting (XIV) Rig | hts | |
| (A) | - | (tu) | (IV) | held (V) | Depository Receipts | held (VII) = (IV)+(V)+ (VI) | shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: | Class eg:y | Total | Total as a % of (A+B+C) |
| | Promoter & Promoter Group Public | 12 | 2534051 | | | | | ^ / | 1 | 1 | |
| | | 1353 | 3050949 | - 1 | | 2534051 | 45.37 | 25,34,051.00 | | | 20,007 |
| | Non Promoter- Non Public | 150 | 300,949 | | | 3050949 | 54.63 | | | 2534051.00 | 45.3 |
| C1) | Shares underlying DRs | | | | | | 34.03 | 3050949.00 | A THE STATE OF THE | 3050949.00 | 54.63 |
| C2) | Shares held by Employee Trusts | | 130 | | | | | | 41 | | Part of the last |
| | Total | 1365 | | | Market Street | | | - | | | College College |
| | | | 5585000 | Victoria de la companya della companya della companya de la companya de la companya della compan | Aub Comment | 5585000 | | D | 11 D D D D | | The state of |
| | | | | | | 3363000 | 100 | 5585000.00 | | 5585000.00 | 100.00 |

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COMPANY SECRETARY
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| No. Of Shares Underlying | No. of Shares | No. Of Shares Underlying | Shareholding , as a % assuming full conversion | | ocked in shares XII) | | Shares pledged or se encumbered | | Su | b-categorization of sh | artes |
|--------------------------|------------------------------------|--------------------------|--|---------------------------------------|-------------------------|---------------------------------------|--|------------------|-------------------|------------------------|----------|
| Outstanding convertible | Underlying | Outstanding convertible | of convertible securities (| es (| (XIII) Number of equity | | Shareholding (No. of shares) under | | | | |
| securities (X) | Outstanding Warrants (Ki) (Xi) (a) | Warrants share capital) | No. (a) | As a % of total Shares held (b) | Mo. (a) | As a % of total Shares held (b) | shares held in dematerialized form (XIV) | Sub-category (i) | Sub-category (ii) | Sub-category (iii | |
| | | | 45.37 | | | A STATE OF | | 2534051 | | | |
| | | | 54.63 | | | 1772-1722 | Marine No. | 3003009 | .0 | 0 | |
| ACTIVE OF | | | | - Dayler | | 2001300 | | 1 | Sing has | | |
| 10 m - 10 m | | | | | | FP | | | | | |
| | | | 100 | Lat. The | | | | 5537060 | | | A COLUMN |

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Home Validate Shareholding as a % of Category & Name total no, of shares No. of fully paid up No. Of Partly paid-up No. Of shares underlying Total nos, shares of the Nos. Of shareholders (calculated as per SCRR. St. Shareholders egulty shares held equity shares held Depository Receipts held 19571 (1) (IV) (V) (VI) (VII) = (IV)+(V)+(VI)(VIII) As a % of (A+B+C2) Table II - Statement showing shareholding pattern of the Promoter and Promoter Group (1) Indian (a) Individuals/Hindu undivided Family 784350 784350 14.04 (b) Central Government/State Government(s) (c) Financial Institutions/ Banks (d) Any Other (specify) 1749701 1749701 31.33 Sub-Total (A)(1) 12 2534051 (2) Foreign 2534051 45.37 Individuals [NonResident Individuals] Foreign (a) Individuals! (b) Government (c) institutions (d) Foreign Partfolio Investor (c) Any Other (specify) Sub-Total (A)(2) Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) 12 2534051 2534051 45.37 Details of Shares which remain unclaimed for Promoter & Promoter Group Table III - Statement showing shareholding pattern Note: Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual, of the Public shareholder (1) Institutions (Domestic) (a) Mutual Funds (b) Venture Capital Funds (4) Certified True Copy

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Ayan A Len Alternate Investment Funds (d) Insurance Companies Provident Funds/ Pension Funds (g) Asset reconstruction companies Sovereign Wealth Funds NBFCs registered with RBI

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| | Other Financial Institutions | | | |
|----|--|--|--|-------------------|
| k) | Atry Other (specify) | | | |
| | Sub-Tetal (B)(1) | | | |
| 2) | Institutions (Fornign) | The support of the su | THE RESERVE OF THE PROPERTY OF | |
| #) | Foreign Direct Investment | THE RESERVE OF THE PERSON NAMED AND ADDRESS OF THE PERSON NAMED ADDRESS OF THE PERSON NAMED AND ADDRESS OF THE PERSON NAMED AN | | |
| b) | Foreign Venture Capital Investors | | | |
| cŧ | Sovereign Wealth Funds | | | |
| dj | Foreign Portfolio Investors Category I | | | |
| e) | Foreign Portfolio Investors Category II | 1 550000 | 550000 9.85 | |
| n | Oversean Depositories (holding DRs) (balencing figure) | | | |
| g) | Any Other (specify) | | | |
| 4 | Sub-Total (8)(2) Central Government / State Government(s) | 1 550000 | \$50000 9.85 | |
| a) | Central Government / President of India | Laboration of the Control of the Con | 9.85 | |
| ı | State Government / Governor | | | |
| c) | Shareholding by Companies or Bodies Corporate | | | |
| | where Central / State Government is a promoter | | | |
| 4) | Non-institutions | | | |
| a) | 100/100 | | District State of the State of | |
| - | Associate companies / Subsidiaries | | | |
| | | | | |
| b) | Directors and their relatives (excluding independent | | | |
| i) | | | | |
| | Directors and their relatives (excluding independent | | Certified | True Copy |
| b) | Directors and their relatives (excluding independent directors and nominee directors) Kes Managerial Personnel | | | |
| 1 | Directors and their relatives (excluding independent directors and nominee directors) Kits Managerial Personnel Belatives of promoters bother than immediate toolings of promoters do the first of promoters and the first of promoters and the first of promoters of promoters and the first of promoters of promoters and the first of promoters of | | For SMIFS CAPIT. | AL MARKETS LIMITE |
| 1 | Directors and their relatives (excluding independent directors and nominee directors) Kes Managerial Personnel | | For SMIFS CAPIT. | AL MARKETS LIMITE |
| 1 | Directors and their reistores (excluding independent directors and nominee directors) Key Managerial Personnel Relatives of promoters Jother than simmediate products of promoters disclosed under Promoter and Promoter and Promoter and Promoter and Promoters and Promo | | For SMIFS CAPIT. | AL MARKETS LIMITE |
| 1 | Directors and their relatives (excluding independent directors and nominee directors) Kits Managerial Personnel ficiatives of promoters jother than immediate matters of promoters and excess under Promoter and Promoter of Promoters of Pro | | For SMIFS CAPITA Aya | AL MARKETS LIMITE |
| 1 | Directon and their relatives (excluding independent directors and nominee directors) Kes Managerial Personnel Fieldtives of promoters (other than immediate products of promoters doctored under Promoter and Promoter directors of promoters doctored under Promoter and Promoter Group Category in Trusts where any person belonging to Promoter and Promoter Group Category in Trustee Deneticiary, or author of the trust | | For SMIFS CAPITA Aya | AL MARKETS LIMITE |
| 1 | Directors and their relatives (excluding independent directors and nominee directors) Kits Managerial Personnel ficiatives of promoters jother than immediate matters of promoters and excess under Promoter and Promoter of Promoters of Pro | | For SMIFS CAPITA Aya | AL MARKETS LIMITI |
| | Directors and their relatives (excluding independent directors and nominee directors) Key Manager (a) Personnel Selectives of promoters between their seminedate produces of promoters disclosed under Promoter and Promoter and Promoter Groups extensive of promoters for their seminestic Groups of their seminestic Grou | 1 11142 | For SMIFS CAPITA Aya | AL MARKETS LIMITE |
| 1 | Directors and their reistores (excluding independent directors and nominee directors) Kes Manageriai Personnel Belatives of promoters lother than leminediate products of promoters discussed under Promoter and Promoter and Promoter and promoter of promoters of the products of the trust of | 1 111442 | For SMIFS CAPITA Aya | AL MARKETS LIMITE |
| 1 | Directors and their reistores (excluding independent directors and nominee directors) Kes Manageriai Personnel Selettives of promoters bother than leminediate promoters of promoters directory of promoters directory of promoters directory of promoters directory of promoters of | 1 111442 | For SMIFS CAPITA | AL MARKETS LIMITE |

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| | Details of the shareholders acting as persons in Concert for Publi | 1353 | 3050949 | 3050949 | 54.63 |
|-----|--|--|---------|---------|-------|
| | Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4) | Pholding (R)=(RV1)\/(R)=(2)\/(RV1)\/(RV1)\/(RV1) | | 2500949 | 44.78 |
| | Sub-Total (B)(4) | 1352 | | 54243 | 0.97 |
| (m) | Any Other (specify) | 42 | 54243 | | |
| (1) | Bodies Corporate | 37 | 774404 | 774404 | 13.87 |
| (k) | Foreign Companies | | | | |
| (1) | Foreign Nationals | | | | |
| (i) | Non Resident Indians (NRIs) | 4 | 4035 | 4035 | 0.0 |
| (h) | Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs | 10 | 952047 | 952047 | 17.0 |

| | | | | - Problems | case of public share holding is less that hareholding pettern for company reme | |
|------|--|---------------------|-------------------|--|---|-----------------|
| | | - | | The second secon | | |
| | | | Disclosure of n | otes in case of promoter holi | ling in dematerialsed form is less than | |
| | | | | | Disclosure of notes on share | holding pattern |
| | | 1303 | 5585000 | | 5585000 | 100.0 |
| | Total (A+B+C) | 1365 | | | 5585000 | 100.0 |
| | Total (A+B+C2) | 1365 | 5585000 | | | 10000 |
| | Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | | | 1 1 1 | | |
| 2) | SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 | | | | | |
| (1) | Available) Employee Benefit Trust / Employee Welfare Trust under | | | | | |
| 2.45 | Table IV - Statement showing shareholding pattern of the N Custodian/DR Holder - Name of DR Holders (If | on Promoter- Non Po | ablic shareholder | | | |

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| No | of Voting (XIV)Rights | | | | | | Shareholding, as a % | (X |
|--------------------------|--|---------|---|--|--|--|---|--|
| Class eg: X | Class eg:y | Total | Total as a % of Total Voting rights | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (XI) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2) | No. (a) |
| | | | BAND . | | | 11 | | |
| 784350.00 | A SPECIAL DESIGNATION OF THE PERSON OF THE P | 784350 | No carried | | | | | |
| | | 764350 | 14.04 | | | | 14.04 | |
| 1740704 | | | | | | | | |
| 1749701.00 2534051.00 | | 1749701 | 31.33 | | | | | |
| 7/2 4/4 4/4 | | 2534051 | 45.37 | | | | 31.33 45.37 | |
| | | | HESSEL TO LOT | | Para Asia | CALL DESIGNATION | 45.37 | 100 PM 100 PM |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | - | | | |
| 2534051.00 | | | | | | | | |
| | | 2534051 | 45.37 | | | | 45.37 | |
| | Valley State of the last | | Tric to re- | | | | 45.57 | |
| | | | | | | | | The state of the s |
| | design and the | | | | | | | |
| | | | | - Destinator | | 4-15-4 BANK 18 | | |
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| | | | | | | | | |
| | | | | | | 150,81 | For S | rtified True Co |
| | | | | | | | 10.0 | - Ayauti 1 |
| | | | | | | 7-19 | | IAYAI |

| 550000 | 5500 | 9.85 | | 91 | 85 |
|---------------|---|-------------------------|----------------|------|-------------------------------|
| | | | | | |
| 550000 | 5500 | 000 9.85 | 12 12 14 14 14 | 9.8 | 5 |
| No. of London | Altahore, Ar | | | | |
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| To hoove | AND ARTHUR DELIVER AND ADDRESS OF THE PARTY | Service Handred Handred | | | |
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| | | | | | |
| | | (i) | | | |
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| 111442 | 1114 | 442 2.00 | | 2.00 | (AYANTI COMPANY SECRE |
| 604778 | 6047 | 778 10.83 | | | CUM COMPLIANCE |

| 952047 | 952047 | 17.05 | 17.05 |
|------------|---------|-------|-------|
| 4035 | 4035 | 0.07 | 0.07 |
| | | | |
| 774404 | 774404 | 13.87 | 13.87 |
| 54243 | 54243 | 0.97 | 0.97 |
| 2500949.00 | 2500949 | 44.78 | 44.78 |
| 3050949 | 3050949 | 54.63 | 54.63 |

| | | | A STATE OF THE STA | |
|------------|---------|--------|--|-----------------------|
| | | | | |
| | | | | |
| 5585000.00 | 5585000 | 100.00 | 100.00 | |
| 5585000.00 | 5585000 | 100.00 | 100.00 | |
| Add Notes | | | The second second | rtified True Co |
| Add Notes | | | | SMIFS CAPITAL MARKETS |

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| | | | T | Sub-categorization of shares | | | |
|---------------------------------------|------------|---|--|------------------------------------|-------------------|--------------------|--|
| ked in shares) | | res pledged or otherwise ncumbered (XIII) | | Shareholding (No. of shares) under | | | |
| As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | Number of equity shares held in dematerialized form (XIV) | Sub-category (i) | Sub-category (ii) | Sub-category (iii) | |
| | | | 784350 | | | | |
| | | | 1749701 2534051 | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | 2534051 | | | | |

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| and the photogram | | |
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Ayam (AYANTI SEN)

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550000 550000 111442 565938

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| | 952047 | 0 | 0 | |
|--|--|---|---|-----|
| | 1035 | 0 | 0 | |
| | | | | |
| | | | | |
| E . | 768304 | 0 | 0 | |
| No. of the control of | 54243 | 0 | 0 | |
| | 2453009 | 0 | 0 | 100 |
| TO THE PARTY OF TH | The state of the s | | | |
| | 3003009 | 0 | 0 | |
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| | | | | | | Number of V |
|---------|------------------------------------|---|----------------------------|--------------------------------|---|---------------------|
| Searial | Name of the | PAN | No. of fully paid up | Total nos. shares | Shareholding as a % of total no. of shares (calculated as per SCRR, | No of Votin |
| No. | Shareholders (I) | (11) | equity shares held (IV) | held (VII) = (IV}+(V)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg:X |
| A1(a) | Individuals/Hindu undivided Family | | | | | |
| | Add Delete | 100000000000000000000000000000000000000 | | E HURBING | DENGE HER PARTY OF HER | |
| 1 | AJAY KUMAR KAYAN | AGGPK0892G | 400550 | 400550 | 7.17 | 400550.00 |
| 2 | LALITA KAYAN | AGGPK0893H | 154300 | 154300 | 2.76 | 154300.00 |
| 3 | NILANGI PAREKH | AJPPP3852K | 123000 | 123000 | 2.20 | 123000.00 |
| 4 | UTSAV PAREKH | AGHPP4467H | 96200 | 96200 | 1.72 | 96200.00 |
| 5 | RAHUL KAYAN | AGAPK0442L | 7000 | 7000 | 0.13 | 7000.00 |
| 6 | SUMAN BHARTIA | AEEPB9602B | 1500 | 1500 | 0.03 | 1500.00 |
| 7 | GAURI SHANKAR AJAY KAYAN (HUF) | AABHG5183R | 1000 | 1000 | 0.02 | 1000.00 |
| 8 | AJAY KUMAR KAYAN HUF | AACHA9009B | 700 | 700 | 0.01 | 700.00 |
| 9 | PAYAL SARAF | AFYPK7045N | 100 | 100 | 0.00 | |
| | Click here to go back | Total | 784350 | 784350 | 14.04 | 100.00 784350.00 |

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(AYANTI SEY)

COMPANY SECRETARY

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| ng Rights held in each class ((IX) | of securities | Shareholding , as a % | | | | |
|--|-------------------------------------|--|---|--|------------------|--|
| (XIV) Rights | Total as | assuming full conversion of convertible securities | Number of equity shares | AND INCLUDED THE PROPERTY OF T | | |
| Total | a % of Total Voting rights | (as a percentage of diluted share capital) (XI)= (VII)+(XI)(a) As a % of (A+B+C2) | held in dematerialized form (XIV) | Reason for not providing PAN | Shareholder type | |
| | | | | | | |
| 400550.00 | 7.17 | 7.17 | 400550 | | Promoter | |
| 154300.00 | 2.76 | 2.76 | 154300 | | Promoter Group | |
| 123000.00 | 2.20 | 2.20 | 123000 | | Promoter Group | |
| 96200.00 | 1.72 | 1.72 | 96200 | | Promoter | |
| 7000.00 | 0.13 | 0.13 | 7000 | | Promoter Group | |
| 1500,00 | 0.03 | 0.03 | 1500 | | Promoter Group | |
| 1000,00 | 0.02 | 0.02 | 1000 | | Promoter Group | |
| 700.00 | 0.01 | 0.01 | 700 | | Promoter Group | |
| 100.00 | 0.00 | 0.00 | 100 | | Promoter Group | |
| 784350.00 | 14.04 | 14.04 | 784350 | | | |

FOR SMIFS CAPITAL MARKETS LIMITED

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| Searial No. | Category | Name of the Shareholders (1) | PAN (II) | No. of the Shareholders (I) | No. of fully paid up equity shares held (IV) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % o total no. of shares (calculated as per SCRR 1957) (VIII) As a % of (A+B+C2) |
|-------------|------------------------------------|---|-------------|--------------------------------------|--|---|--|
| | | | | | 1 | | \ \ |
| A1(d) | Any Other (specify) | | | | | | Bis e la |
| A1(d) | Any Other (specify) Add Delete | | | | | | |
| A1(d) | | MACKERTICH CONSULTANCY SERVICES DRIVE | AABCAASCOOO | | | | HYCP: |
| | Add Delete | MACKERTICH CONSULTANCY SERVICES PRIVAT | AABCM5680Q | 1 | 1115700 | 1115700 | 19.98 |
| 1 2 | Bodies Corporate Bodies Corporate | PROGRESSIVE STAR FINANCE PRIVATE LIMITED | AABCP6020F | 1 | 1115700 357251 | 1115700 357251 | A |
| 1 | Add Delete Bodies Corporate | PROGRESSIVE STAR FINANCE PRIVATE LIMITED STEWART INVESTMENT AND FINANCIAL PRIVA | AABCP6020F | 1 1 1 | | | 19.98 6.40 4.96 |

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| redition of voting it | Number of Voting Rights held in each class of securities (IX) | | Shareholding , as a % | | | |
|--------------------------|---|-------------------------------------|--|-------------------------|--------------------------|------------------|
| No of Voting (Rights | | | accuming full conversion | Number of equity shares | Reason for not providing | |
| Class eg: X | Total | a % of Total Voting rights | (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | form (XIV) | PAN | Shareholder type |
| | | | | | | |
| | | | | | | |
| 1115700.00 | 1115700.00 | 19.98 | 19.98 | 1115700 | | Promoter |
| 1115700.00 357251.00 | 1115700.00 357251.00 | 19.98 | | | | Promoter |
| Settlement of the | | | 6.40 | 357251 | | 1/2 |

FOR SMIFS CAPITAL MARKETS LIMITED

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| Ī | Home Validate | | | A SECOND | Shareholding as a % of total no. of shares | No of Voting Rights | (XIV) | Total as |
|----------------|---------------------------------------|------------------|--|---|---|------------------------|-----------|---------------------------|
| Searial No. | Name of the Shareholders (I) | PAN (II) | No. of fully paid up equity shares held (IV) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Total | Total Voting rights |
| 32(d) | Foreign Portfolio Investors Category | | areholder holding more t | han 1% of total number | of shares | | | |
| | | Disclosure of sh | elevoines uniquif mora : | | | The second second | 550000.00 | 9.8 |
| | Add Delete | | 550000 | 550000 | 9.85 | 550000.00 | 330000 | |

For SMIFS CAPITAL MARKETS LIMITED

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| Shareholding , as a % | | | Sub-categorization of shares | | | | |
|--|-------------------------|------------------------------|------------------------------|------------------------------------|--------------------|--|--|
| ssuming full conversion of convertible securities | Number of equity shares | | | Shareholding (No. of shares) under | | | |
| (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | form (XIV) | Reason for not providing PAN | Sub-category (I) | Sub-category (II) | Sub-category (III) | | |
| | | | | | | | |
| 9.85 | 550000 | | o | 0 | | | |
| 9.85 | 550000 | | 0 | 0 | | | |

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Ay and Incompany Company Co

| Searial | Name of the | 201 | No. of fully paid up | Total nos. shares | Shareholding as a % of total no. of shares | Number of Voting No of Voting Rights | Rights held in each (IX) (XIV) |
|---------|--|-------------|----------------------------|-------------------------------|---|--|--------------------------------------|
| No. | of the Shareholders (I) | PAN (II) | equity shares held (IV) | held (VI) = (IV)+(V)+ (VI) | (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Total |
| B4(f) | Investor Education and Protection Fund | (IEPF) | Designation (SELECTION) | | | digget action to success | |
| | ON REPORTS AND ADDRESS OF THE PARTY OF THE P | | reholder holding more th | han 1% of total number of | of shares | A STATE OF THE STA | |
| 1 | INVESTOR EDUCATION AND PROTECTION F | 2277299992 | 111442 | 111442 | | 111442.00 | 111442.00 |
| | Click here to go back | Total | 111442 | 111442 | | 111442.00 | 111442.00 111442.00 |

FOR SMIFS CAPITAL MARKETS LIMITED

Ayana Secondary Secretary

CUM COMPLIANCE SEFICER

| | Shareholding, as a % | | | Se | Sub-categorization of shares | | | |
|---------------------------|---|-------------------------|--|------------------|------------------------------|--------------------|--|--|
| Total as | of convertible securities (as a percentage of | Number of equity shares | In dematerialized Reason for not providing | | eholding (No. of shares) u | nder | | |
| Total Voting rights | diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | form (XIV) | PAN | Sub-category (I) | Sub-category (II) | Sub-category (iii) | | |
| 2.0 | 0 2.00 | 111442 | 27 | | | The Man | | |
| 2.0 | | 111442 | 27 | 0 | 0 | | | |

Ayanh Jen

COMPANY SECRETA

| | | | | | No. 11 (2 - 17 - 17 - 17 - 17 - 17 - 17 - 17 - 1 | Number of Voting | Rights held in each class (IX) | of securities | Shareholding, as a % |
|-------------|---|--|--|---|---|------------------------|-----------------------------------|--|--|
| Searial No. | Name of the | PAN | No. of fully paid up Total nos. shares | Shareholding as a % of total no. of shares | No of Voting Rights | | Total as | assuming full conversion of convertible securities | |
| | Shareholders (I) | (11) | equity shares held (IV) | held (VII) = (IV)+(V)+ (VI) | (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Total | a % of Total Voting rights | (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) |
| B4(h) | Individuals - II. Individual shareholder | rs holding namin | al sharp canital in even | refle 31-th | | | | | |
| | | | | | | Minasa and Market | | | 阿里拉斯斯斯 |
| | | Disclosure of shi | reholder holding more th | an 1% of total number of | shares | | | | A STATE OF THE PARTY OF THE PAR |
| 1 | MINAL BHARAT PATEL | AACPP5126G | 786 | an 1% of total number of | | Post de Calvania | | | and the state of t |
| 1 2 | | AACPP5126G | 323339 | 323339 | 5.79 | 323339.00 | 323339,00 | 5.79 | 5.79 |
| | MINAL BHARAT PATEL SANGEETHA S | AACPP5126G AUPS3739F | 323339 182590 | 323339 182590 | 5.79 | 323339.00 182590.00 | 323339,00 182590,00 | 5.79 3.27 | 5.79 |
| | MINAL BHARAT PATEL SANGEETHA S PUNIABHAI KESHAYBHAI JADEJA | AACPP5126G AUPS3739F AEDPK1160L | 323339 182590 125511 | 323339 182590 | 5.79 3.27 | | | 3.27 | 3.27 |
| | MINAL BHARAT PATEL SANGEETHA S | AACPP5126G AUPS3739F AEDPK1160L ANWPS3969F | 323339 182590 125511 | 323339 182590 | 5.79 3.27 2.25 | 182590.00 | 182590.00 | 1000 | |

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COMPAN: SENS CUM COMPLIAN STARY

| | | Su | b-categorization of shares | L | |
|---|----------------------------|------------------------------------|----------------------------|--------------------|--|
| Number of equity shares held in dematerialized form | Reason for not providing - | Shareholding (No. of shares) under | | | |
| (XIV) | PAN | Sub-category (i) | Sub-category (ii) | Sub-category (iii) | |
| | BEN MAN THE REAL PROPERTY. | | | | |
| 323339 | | | | | |
| 323 339 | | 0 | 0 | | |
| | | 0 | 0 | | |
| 182590 | | | | | |

For SMIFS CAPITAL MARKETS LIMITED

| | | | - | |
|--|---|---|---|---|
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| | f | v | c | |
| | Q | z | ٩ | ١ |
| | ٦ | • | • | 2 |
| | | | | |

| - | Home Validate | | | | | Number of Voting | Rights held in each (IX) |
|---------------|-------------------------------------|-------------------|--|--------------------------------|---|------------------------|-----------------------------|
| | Name | | | Total nos. shares | Shareholding as a % of total no. of shares | No of Voting Rights | |
| earial No. | of the Shareholders (1) | PAN (II) | No. of fully paid up equity shares held (IV) | held (VII) = (IV)+(V)+ (VI) | (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Total |
| B4(I) | Bodies Corporate | | | | | | |
| | Add Delete | Disclosure of she | areholder holding more th | nan 1% of total number | of shares | | |
| 1 | Astra Merchandising Private Limited | AAACA6338G | 269509 | 269509 | 4.83 | 269509.00 | 269509.00 |
| 2 | Antriksh Vyapaar Limited | AACCA5697E | 240408 | 240408 | 4.30 | 240408.00 | 240408.00 |
| 3 | Maya Trade Links Limited | AAACM2192G | 106502 | 106502 | | 106502.00 | 106502.00 |
| 4 | Bishnauth Investments Limited | AABCM7767N | 74000 | 12.5 | | 74000.00 | |
| | Click here to go ba | ck Total | 690419 | | | 690419,00 | 74000.00 |

COMPANY SECRETARY
CUM COMPLIANCE OFFICER

| securities | Shareholding , as a % | | | Sub | categorization of shares | |
|-------------------------------------|--|---|------------------------------------|------------------|--------------------------|--------------------|
| Total as | assuming full conversion of convertible securities | Number of equity shares | Shareholding (No. of shares) under | | | |
| a % of Total Voting rights | (as a percentage of diluted share capital) (XI)≈ (VII)+(X) As a % of (A+B+C2) | held in dematerialized form (XIV) | Reason for not providing PAN | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| | | | | R. STA LONG BY | | |
| | the second second second second | | | | | |
| 4.83 | 4.83 | 269509 | | | | |
| 4.83 | 4.63 | 203303 | | 0 | 0 | |
| | 4.30 | 240408 | В | 0 | 0 | |
| 4.30 | 4.30 | 240408 | 2 | 0 | 0 | |
| 4.30 | 4.30 1.91 1.32 | 240408 106502 74000 | 2 | 0 0 | 0 | |

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COMPANY SECRETARY
CUM COMPLIANCE OFFICER

| Category | Citegory/More than't percentage | of the Descriptions Of | (90) | of the Shareholders (S) | No. of fully gold up equity shares held (IV) | Finds non-sharen. Field [NR] - [IN]+(V)+ (V) | A THE |
|--------------------|---------------------------------|------------------------------|------|-------------------------------|--|--|---------------------------------|
| Any Other Insultin | | | 1 | | | | |
| Act Desp | | | | | | | No. of Street, Street, or other |
| And Territoria | Congre | | | | | | |
| | Category | | | | N/902 | 75 90 14 M | 67 |

For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen.

COMPANY SECRETAR: CUM COMPLIANCE OFFICE

| Number of Voting Rights held in each class of securities (XX) | | (tx) assuming full conversion . | | Sub-categorization of shares | | | | | | |
|--|----------------------------|---------------------------------|---|------------------------------|---------------------------|---------------------------|--------------------|---|------------------------------------|--|
| No of Voting Rights | XIV) | Total as a % of | of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | of convertible securities | of convertible securities | of convertible securities | | alized Reason for not providing Shareholding (No. of sh | Shareholding (No. of shares) under | |
| Class eg: X | Total | Total Voting rights | | form (XIV) | PAN | Sub-category (I) | Sub-category (iii) | Sub-category (III) | | |
| | | | | | | | | | | |
| | ASSESSMENT OF THE PARTY OF | | | | es source last | | 1 3 4 1 | | | |
| 59902 | 39902 | 0.7 | 1 0.7 | 3990 | 2 | o | 0 | | | |
| 39902 14341 | 39902 34341 | 0.7 | | | | 0 | 0 | | | |

FOR SMIFS CAPITAL MARKETS LIMITED

COMPANY SECRETA CUM COMPLIANCE OFFI

| Table VI - Statement showing foreign ownership limits | | | | | | |
|---|---------------------|---------------------|--|--|--|--|
| Particular | Approved limits (%) | Limits utilized (%) | | | | |
| As on shareholding date | 0.00 | 0.00 | | | | |
| As on the end of previous 1st quarter | 0.00 | 0.00 | | | | |
| As on the end of previous 2nd quarter | | - | | | | |
| As on the end of previous 3rd quarter | 0.00 | 0.00 | | | | |
| As on the end of previous 4th quarter | 0.00 | 0.00 | | | | |
| Notes :- | 0.00 | 0.00 | | | | |

For SMIFS CAPITAL MARKETS LIMITED

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(AYANTI SEN)

COMPANY SECRETARY

COMPLIANCE OFFICE: CUM COMPLIANCE OFFICE:

^{1) &}quot;Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

²⁾ Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Nondebt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.